



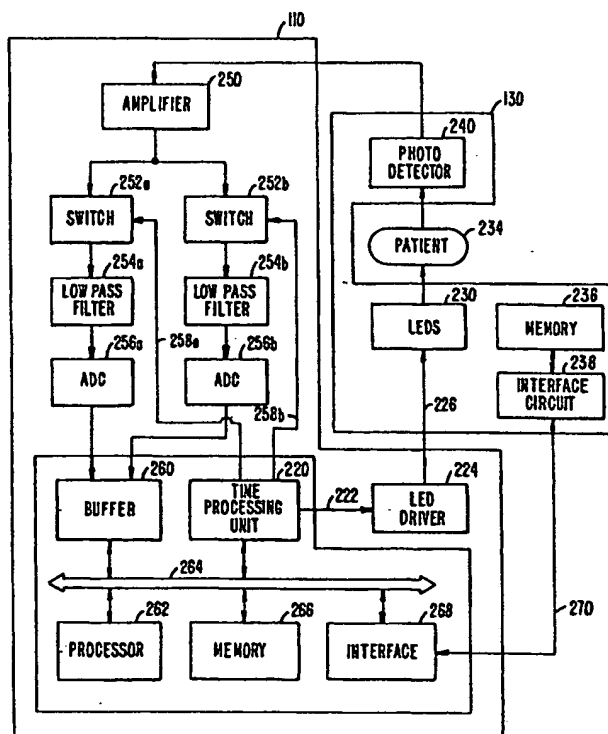
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(54) Title: METHOD AND CIRCUIT FOR STORING AND PROVIDING HISTORICAL PHYSIOLOGICAL DATA

## (57) Abstract

A mechanism for storing and providing historical physiological data, such as blood oxygen saturation data, for a patient. In particular, the historical physiological data is stored in a storage medium that "travels" with the patient and is accessible wherever the patient is moved. This is achieved by storing the physiological data within a sensor assembly. At the destination site, a monitor or a device capable of interfacing with the sensor electronics can retrieve and display the data. The historical physiological data allows a clinician or medical personnel at the destination site to assess the condition of the patient for the entire time that the patient has been monitored. Various types of physiological data can be stored including, but not limited to, blood oxygen saturation, heart rate, and temperature data. Compression of the data can be performed to enhance the storage capability.



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## METHOD AND CIRCUIT FOR STORING AND PROVIDING HISTORICAL PHYSIOLOGICAL DATA

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### BACKGROUND OF THE INVENTION

The present invention relates to physiological test instruments and, in particular, sensors that include a mechanism for storing and providing to a monitor historical physiological data such as blood oxygen saturation data.

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Pulse oximetry is typically used to measure various blood flow characteristics including, but not limited to, the blood oxygen saturation of hemoglobin in arterial blood, the volume of individual blood pulsation supplying a tissue, and the rate of blood pulsation corresponding to each heartbeat of a patient. Measurement of these characteristics has been accomplished by the use of a non-invasive sensor that passes light through a portion of a patient's blood perfused tissue and photo-electrically senses the absorption and scattering of light in such tissue. The amount of light absorbed is then used to estimate the amount of blood constituent in the tissue. The "pulse" in pulse oximetry comes from the time varying amount of arterial blood in the tissue during the cardiac cycle. The signal processed from the sensed optical signal is the familiar plethysmographic waveform due to cycling light attenuation.

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To estimate blood oxygen saturation of a patient, conventional two-wavelength pulse oximeters emit light from two light emitting diodes (LEDs) into a pulsatile tissue bed and collect the transmitted light with a photodiode (or photo-detector) positioned on an opposite surface (i.e., for transmission pulse oximetry) or an adjacent surface (i.e., for reflectance pulse oximetry). One of the two LEDs' primary wavelength is selected at a point in the electromagnetic spectrum where the absorption of oxyhemoglobin ( $\text{HbO}_2$ ) differs from the absorption of reduced hemoglobin (Hb). The second of the two LEDs' wavelength is selected at a different point in the spectrum where the absorption of Hb and  $\text{HbO}_2$  also differs from each other, and further differs from those at the first wavelength. Commercial pulse oximeters typically utilize one wavelength in the near red part of the visible spectrum near 660 nanometers (nm) and one in the near infrared (IR) part of the spectrum in the range of 880-940 nm.

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Oxygen saturation can be estimated using various techniques. In one common technique, the photo-current generated by the photo-detector is conditioned and processed to determine the modulation ratio of the red to infrared signals. This modulation ratio has been observed to correlate well to arterial oxygen saturation. The pulse oximeters and sensors are empirically calibrated by measuring the modulation ratio over a range of in vivo measured arterial oxygen saturations ( $\text{SaO}_2$ ) on a set of patients, healthy volunteers, or animals. The observed correlation is used in an inverse manner to estimate blood oxygen saturation ( $\text{SpO}_2$ ) based on the measured value of modulation ratios of a patient. The estimation of oxygen saturation using modulation ratio is described in U.S. Patent No. 5,853,364, entitled "METHOD AND APPARATUS FOR ESTIMATING PHYSIOLOGICAL PARAMETERS USING MODEL-BASED ADAPTIVE FILTERING", issued December 29, 1998, and U.S. Patent No. 4,911,167, entitled "METHOD AND APPARATUS FOR DETECTING OPTICAL PULSES", issued March 27, 1990. The relationship between oxygen saturation and modulation ratio is further described in U.S. Patent No. 5,645,059, entitled "MEDICAL SENSOR WITH MODULATED ENCODING SCHEME," issued July 8, 1997. All three patents are assigned to the assignee of the present invention and incorporated herein by reference.

The LEDs and photo-detector are typically housed in a reusable or disposable oximeter sensor that couples to the pulse oximeter electronics and the display unit (hereinafter referred to as the monitor). The sensors are often connected to patients for long periods of time. Conventionally, historical physiological data for the patient is collected, if at all, by the monitor coupled to the sensor. The historical data can be valuable to a clinician or medical personnel for diagnostic and monitoring purposes.

Patients are often moved to various locations during treatment. For example, a patient may be picked up in an ambulance, delivered to an emergency room, moved to an operating room, transferred to a surgical recovery room, transferred to an intensive care unit, and then moved to a nursing floor or other locations. Thus, the patient may be moved between various locations within the same hospital, or between different hospitals. In many instances, the sensor employed to monitor the conditions of the patient is adhesive in their attachment and therefore remains with the patient. The monitors, however, are typically local to particular locations within the facility. The sensor is normally disconnected from the monitor at the departure site and reconnected to another monitor at the destination site. Consequently, any historical physiological data collected

by the monitor at the departure site is normally unavailable to the clinician attending the patient at the destination site.

In the medical art, a combination of a catheter sensor and a memory unit is disclosed in U.S. Patent No. 4,858,615, entitled "CATHETER SENSOR AND  
5 MEMORY UNIT," and issued August 22, 1989. In this patent, the sensor assembly (34) is located at a distal end of the catheter (32) and the memory unit (38) is connected by a multi-conductor lead (40) to the sensor (see Fig. 5). The catheter is an invasive instrument typically used at a particular location and removed during transport. Neither the catheter nor the memory unit would travel with the patient as he or she is moved to  
10 different locations. Thus, any data captured and stored in the memory unit (38) is also not available when the catheter is removed from the patient.

Accordingly, it is highly desirable to provide mechanisms for storing and providing historical physiological data that travels with a patient.

## 15 SUMMARY OF THE INVENTION

The invention provides a mechanism for storing and providing historical physiological data, such as blood oxygen saturation data, for a patient. In particular, the historical physiological data is stored in a storage medium that "travels" with the patient and is accessible wherever the patient is moved. This is achieved by storing the  
20 physiological data within the sensor assembly. At the destination site, a monitor or a device capable of interfacing with the sensor electronics can retrieve and display the data. The historical physiological data allows a clinician or medical personnel at the destination site to assess the condition of the patient for the entire time that the patient has been monitored. The invention can be used to store and provide various types of physiological  
25 data including, but not limited to, blood oxygen saturation, heart rate, and temperature data.

A specific embodiment of the invention provides a physiological sensor that includes a number of light sources, at least one photo-detector, and a memory circuit. The light sources are selected to operate at different wavelengths. The photo-detector  
30 receives light emitted by the plurality of light sources. And the memory circuit stores physiological data and provides the data when requested. The physiological data is indicative of a physiological condition of a patient being monitored by the sensor.

Another specific embodiment of the invention provides a physiological test instrument that includes a monitor and a sensor. The monitor includes conditioning circuitry and processing circuitry. The conditioning circuitry receives an electrical signal and processes the electrical signal to provide sampled data. The processing circuitry  
5 processes the sampled data to provide physiological data, wherein the physiological data is indicative of a physiological condition of a patient. The sensor couples to the monitor and includes a number of light sources, at least one photo-detector, and a memory circuit. The light sources are selected to operate at different wavelengths. The photo-detector receives light emitted by the light sources. The memory circuit stores the physiological  
10 data and provides the data when requested. An encoder can optionally be coupled to the processing circuitry to code and compress the physiological data before storage to the memory circuit. The test instrument can be an oximeter system for storing and providing historical saturation data of a patient.

Yet another specific embodiment of the invention provides a method for  
15 storing physiological data. The method detects, via a sensor, at least one signal indicative of a physiological condition and conditions the detected signal to generate data samples. The data samples are processed to generate the physiological data, wherein the physiological data describes the physiological condition. The physiological data is stored within a memory located within the sensor. The physiological data can be coded and  
20 compressed before storage to the memory.

The foregoing, together with other aspects of this invention, will become more apparent when referring to the following specification, claims, and accompanying drawings.

## 25 BRIEF DESCRIPTION OF THE DRAWINGS

Fig. 1 shows a simplified block diagram of an embodiment of a physiological measurement system;

Fig. 2 shows a block diagram of an embodiment of a monitor and a sensor;  
and

30 Fig. 3 shows a block diagram of one compression scheme for oxygen saturation data.

## DESCRIPTION OF THE SPECIFIC EMBODIMENTS

Fig. 1 shows a simplified block diagram of an embodiment of a physiological measurement system 100. System 100 includes a monitor 110 that couples to a display unit 120 via an electrical cable 122. Monitor 110 further couples via a second electrical cable 128 to a sensor 130 that is applied to a patient 132. Sensor 130 includes light sources (e.g., LEDs) and a photo-detector along with suitable components to couple the electro-optical components to electrical cable 128. Sensor 130 is shown in Fig. 1 as a clip-on sensor. However, the invention can be applied to many sensor implementations, including those attached to a patient by adhesive and other attachment means. In a specific embodiment, monitor 110 is a pulse oximeter.

For estimating blood oxygen saturation, light from light sources at two or more wavelengths (e.g., red and infrared) is transmitted through a patient's blood perfused tissues (e.g., in a finger) and detected by a photo-detector. The selection of the wavelengths is based on a number of factors. Such factors include the absorption characteristics of the patient and transmission medium. The light sources and photo-detector are typically housed within a sensor that couples to the monitor (e.g., the pulse oximeter). The detected optical signal is then provided to the monitor for processing.

Fig. 2 shows a block diagram of an embodiment of monitor 110 and sensor 130. Within monitor 110, a time processing unit (TPU) 220 provides control signals 222 to an LED driver 224 that, via data line(s) 226, alternately activates LEDs 230 within sensor 130. Depending on the particular implementation, LEDs 230 include two or more LEDs and LED driver 224 provides the necessary drive signals for the LEDs. When activated, the light from LEDs 230 passes through a medium (e.g., air or a fiber optic cable, depending on the implementation) into a patient's tissues 234. After being transmitted through or reflected from the tissues, the light is received by a photo-detector 240 via another medium (e.g., air or another fiber optic cable). Photo-detector 240 converts the received light into a photo-current, which is then provided to an amplifier 250 that amplifies the photo-current.

As shown in Fig. 2, the amplified signal from amplifier 250 is provided to circuitry for two different channels, one channel for each of the red and infrared wavelengths. For a three-wavelength implementation, circuitry is provided for three channels. Each channel circuitry includes an analog switch 252 coupled in series with a low pass filter 254 that is further coupled in series with an analog-to-digital converter

(ADC) 256. Control lines 258 from time processing unit 220 select the sampled data from the channel corresponding to the LED being activated. Specifically, the sampled data from ADC 256a is selected when the red LED is activated and the sampled data from ADC 256b is selected when the infrared LED is activated. The sampled data from ADCs 256 is provided to a buffer 260 that stores the data for further processing. In an implementation, as buffer 260 periodically fills up, a processor 262 coupled to a bus 264 directs the transfer of the data from buffer 260 into a memory 266. The monitor implementation shown in Fig. 2 is one of many implementations. Another pulse oximeter implementation is disclosed in the aforementioned U.S. Patent No. 5,853,364. The present invention can be adapted for application in various monitor implementations.

The sensor of the invention further includes circuitry that stores historical physiological data and provides the data when requested. As shown in Fig. 2, sensor 130 includes a memory 236 coupled to an interface circuit 238. Interface circuit 238 provides signal conditioning, and can also provide other functions such as address decoding, and so on. Interface circuit 238 couples via a bus 270 to a data interface circuit 268 within monitor 110. Through interface circuits 238 and 268, physiological data is transferred between monitor 110 and sensor 130.

In an embodiment, to enhance compatibility of the sensor of the invention with conventional sensors and conventional monitors, bus 270 is implemented using new signal lines (i.e., not using or sharing the existing signal lines of conventional sensors). Bus 270 can be implemented as a serial bus, a parallel bus, or other bus architectures. With this implementation, when sensor 130 of the invention is plugged into a monitor not capable of supporting the features of the invention, the signals on interface circuit 238 are simply ignored by the monitor, or alternatively not requested by the monitor.

In another embodiment, interface circuits 238 and 268 interact via signal line(s) or wire(s) existing in conventional sensors and monitors. For example, interface circuits 238 and 268 can couple via data line(s) 226 and time multiplex with the LED drive signals from LED driver 224.

Time processing unit 220, buffer 260, processor 262, memory 266, and data interface circuit 268 can be implemented in various manners. For example, these elements can be implemented within a single integrated circuit, such as a DMC68HC16 micro-controller from Motorola. These elements can also be implemented within an



application specific integrated circuit (ASIC), a digital signal processor, a micro-controller, or other circuits.

Memory 236 can be implemented as a random access memory (RAM), a FLASH memory, a programmable read only memory (PROM), an erasable PROM (EPROM), an electrically erasable PROM (EEPROM), a similar programmable and/or erasable memory, any kind of erasable memory, a write once memory, or other memory technologies capable of write operations. Memory 236 and interface circuit 238 can be integrated within one integrated circuit for reduced size and cost.

In a specific embodiment, to preserve the historical data and prevent accidental erasure, the sensor memory can be written once. This memory characteristic also prevents erasure of the data during sensor processing. A specific example of a memory device that can be written once is a 2-wire EPROM device available from Dallas Semiconductor Corp.

In another embodiment, the memory can be erased and overwritten multiple times. This memory characteristic may be advantageous, for example, for non-disposable sensors that may include a large amount of memory. Such "specialty" sensors may be better suited for applications where there is a higher propensity to use reusable sensors, such as inside an operating room or an intensive care unit or during an ambulance transport. Specific examples of memory devices that can be erased and overwritten are Flash, EEPROM, battery backed RAM, and other technologies.

The invention is applicable for various oximeter system implementations. For example, in an embodiment, an adapter module and a fiber optic cable can be interposed between cable 128 and sensor 130 (see Fig. 1). The adapter module can include the light sources, the detector, and suitable optics to couple the electro-optical components to the fiber optic cable that guides light to and receives light from the patient. The fiber optic cable can also be partitioned into a long extension cable and a relatively short "sensor" cable. The fiber optic cables can be either glass or plastic fiber. This embodiment allows the electro-optical components to be reused, and only the short sensor cable is replaced from patient to patient.

Fig. 2 shows an oximeter implementation using light at two wavelengths. However, light from more than two LEDs can be used (i.e., for improved accuracy). Light from a single light source can also be used, typically along with appropriate optical filter. Moreover, light sources other than LEDs can be used. For example, lasers or white

light sources can be used with appropriate filters at either the transmitting or receiving end.

The sensor can include different numbers of elements, depending on the implementation of the sensor or the application for which the sensor is used. In one implementation, the sensor includes the LEDs and the photo-detector. This implementation reduces the transmission loss by placing the light source and the detector near the patient. In another implementation, the sensor includes only the transmission medium (e.g., a short fiber optic cable), but no LEDs or photo-detector. This implementation reduces cost, since the LEDs and photo-detector are included within an adapter module and are reusable. In yet another implementation, the sensor can include either the LEDs or the photo-detector, as a compromise to reduce cost and transmission loss. For these various variations, the sensor includes the memory for storing historical physiological data.

During normal operation, when the sensor is plugged into the monitor, the monitor receives the signal from the photo-detector within the sensor and processes this signal to obtain the desired physiological data. In some conventional monitors, the physiological data is stored in a memory within the monitor and retrieved at a later time when requested. However, when a patient is moved to new locations and different monitors are used, the data stored in the monitor at the previous site is typically not available at the current site.

In accordance with the invention, the physiological data is processed, displayed, and stored in the monitor in the nominal manner. In addition, the data is compressed and provided to the sensor for storage in a memory 236 located within the sensor. When the sensor is plugged into another monitor, the new monitor can retrieve the data stored in the sensor memory, decompress the retrieved data, and display the decompressed data. In an embodiment, when the sensor is first plugged into a new monitor, the monitor retrieves and displays the historical physiological data for the most recent predetermined period (i.e., the last 20 or 30 minutes). This predetermined period can be programmed by the clinician or can be preprogrammed into the sensor memory.

Alternatively, the monitor can be configured to retrieve and display the historical physiological data at any time upon request by a health care giver (or a clinician), by the health care giver simply activating a control knob on the monitor. The control knob optionally can be preset so as to automatically retrieve the data upon

occurrence of a predetermined event, such as a sensor being plugged into the monitor, or can be preconfigured so that the data is only retrieved upon explicit command by a health care giver.

As noted above, the invention can be used to store and provide various physiological data including, but not limited to, blood oxygen saturation and heart rate data. For clarity, the invention is described in the context of the storage and retrieval of blood oxygen saturation ( $\text{SpO}_2$ ) data. Based on the received signals representative of the intensity of the light detected by photo-detector 240, processor 262 estimates oxygen saturation using algorithms that are known in the art. These algorithms utilize calibration coefficients that may be empirically determined and correspond to, for example, the wavelengths of the lights used.

The saturation data for a particular patient is processed by the monitor attached to the sensor, and the processed data is provided to the sensor for storage in the sensor memory. The selection of the sensor memory is dependent on numerous factors including cost, the amount of data that needs to be stored for a particular application, the amount of achievable data compression, the physical dimensions, and so on. For oxygen saturation, storage of approximately seven days of historical data is adequate for many applications.

In an embodiment, to reduce the amount of data to be stored in the sensor memory, the physiological data is compressed before storage. In an embodiment, the compression is performed by facilities located within the monitor. Alternatively, the encoding circuit can be on the sensor itself. The monitor further includes facilities to decompress the data retrieved from the sensor memory. Compression allows for the use of a smaller-sized memory in the sensor. This is particularly advantageous since the sensor is typically disposed after use on a patient. Compression also allows more data to be stored into a memory of a given size. The ability to store large amount of data is important for many diagnostic applications that require data collected over hours or days.

The compression scheme can be designed to take advantage of known characteristics of the physiological data being stored. For example, it is known that oxygen saturation generally do not change rapidly. This characteristic can be exploited to achieve significant compression, as described below.

Fig. 3 shows a block diagram of one compression scheme for oxygen saturation data. The saturation data is provided to a filter 312 that filters the data. The

filtered data is provided to a differential pulse code encoder (DPCM) 314 that determines difference values between successive filtered data samples. The difference data is provided to a quantizer 316 that "re-quantizes" the difference data. The quantized data is provided to a run-length coder (RLC) 318 that codes the quantized data using an efficient set of codes. Each of these elements is further described below.

In an embodiment, since it is known that oxygen saturation does not change rapidly, the saturation data is averaged over a predetermined time period (herein referred to as an epoch) and one averaged saturation sample is provided as representative of the saturation during that epoch. In a specific embodiment, an epoch is a time period having a duration of one to five minutes, although any different duration can be used. The epoch can also be set based on the characteristics of the physiological data being stored (i.e., a longer epoch for slow changing physiological data and a shorter epoch for fast changing data).

Filter 312 filters the saturation data. Filter 312 can be a digital filter designed in a manner known in the art. In an embodiment, filter 312 is a lowpass filter having a bandwidth related to the epoch (i.e.,  $BW \approx \alpha / t_{EPOCH}$ , where  $BW$  is the filter bandwidth,  $\alpha$  is a proportionality constant, and  $t_{EPOCH}$  is the period of an epoch. The characteristics of filter 312 can also be equalized (i.e., spectrally shaped) to match the characteristics of the data being filtered.

To further smooth the data and increase the amount of compression, the saturation data can be filtered over a period of several epochs. However, averaging the saturation data over a longer time interval masks rapid changes in saturation, which are smoothed out and lost in the averaging process. To capture rapid change events, a moving average filter can be used.

In an embodiment, the moving average filter includes a filter that filters the saturation data over an epoch (i.e., a single-epoch filter) and another filter that filters the data over multiple epochs (i.e., a multiple-epoch filter). The moving average filter monitors the averaged saturation data from the single-epoch filter and detects averaged saturation samples that fall outside a predetermined window. The predetermined window can be set at plus and minus several saturation points around the current averaged saturation value. For example, if the current averaged saturation sample has a value of 90 saturation points, the predetermined window can be set at  $\pm 2$  saturation points centered

around 90 (e.g., 88 to 92). The moving average filter then activates a flag if the next averaged saturation sample has a value below 88 or greater than 92. If the averaged saturation sample from the single-epoch filter is within the window, the averaged sample from the multiple-epoch filter is used. Otherwise, an averaged saturation sample from the single-epoch filter falling outside the window indicates a rapid change in saturation. This detected sample is used to restart the moving average and cause a change of the averaged saturation sample to the new value from the single-epoch filter. The moving average filter allows for the detection of rapid changes and the capture of their magnitudes while maintaining a filtered data stream that enhances compression of nominal data.

The slow varying nature of oxygen saturation suggests the use of differential coding since less bits would be required to represent the differences between samples than the actual sample values. With differential coding, the first saturation sample is stored using the actual sample value. A subsequent saturation sample is represented as a delta value from a preceding saturation sample. Periodically, the actual sample value is stored to prevent an accumulation of error in the differential coding and to limit the propagation of error. DPCM 314 determines the difference values between successive saturation samples. The difference value is calculated by subtracting the current saturation sample from a preceding saturation sample.

For many applications, it is not necessary to store saturation data with a great deal of precision. For example, for some applications, it is sufficient and acceptable to indicate a change of  $\pm$  one saturation point as no change in saturation. Thus, the difference values from DPCM 314 can be re-quantized by quantizer 316.

In an embodiment, quantizer 316 is a window comparator having a quantization window of, for example,  $\pm$  one saturation point. If the difference value falls within the quantization window, quantizer 316 indicates a "no change" in saturation and outputs a zero. If the difference value falls outside the quantization window, quantizer 316 passes this value without additional processing. Quantizer 316 can also be implemented in other manners, for example, as a quantizer having a step size twice that of the saturation sample.

Requantization by quantizer 316 introduces quantization error in the reconstructed data. This error can accumulate over successive samples and exceed an acceptable threshold. To avoid this phenomenon, an error accumulator 320 coupled to

quantizer 316 accumulates the error introduced by quantizer 316 and provides the accumulated error to DPCM 314. DPCM 314 takes the accumulated error into account when calculating the difference values.

Because of the slow varying nature of oxygen saturation and the use of differential coding and requantization, many of the data values from quantizer 316 are zero. In an embodiment, run length coder (RLC) 318 receives the quantized data from quantizer 316, transmits the non-zero values, and sends a code representative of the number of zero values between the non-zero values. For example, for a sequence of (3, 0, 0, 0, 0, 0, 4, ...), RLC 318 transmits the first "3", then a code indicating six consecutive zeros, then "4". In an embodiment, the code representative of the number of consecutive zeros are generated such that the most likely sequences of consecutive zeros are assigned codes having shorter code widths, and the more unlikely sequences are assigned codes having longer code widths. This code characteristic is similar to that of a Huffman code that is known in the art.

The elements shown in Fig. 3 can be implemented in various manners. For example, these elements can be implemented within a processor (i.e., processor 262 in Fig. 2), a digital signal processor, an ASIC, or other circuits. The functions of the elements in Fig. 3 can also be provided by a program code executed on processor 262 with the supported of memory 266.

Fig. 3 shows one compression embodiment. In another compression embodiment, the non-zero difference values are transmitted along with their epoch numbers. For the sequence shown above, the transmitted values may be (3, 1), (4, 8), and so on. The first number in the pair is the difference value and the second number is the epoch number. For some applications, this embodiment may provide additional compression over the embodiment shown in Fig. 3.

In yet another compression embodiment, the saturation value and the number of epochs over which the value is within a predetermined quantization window are recorded. In this embodiment, it is not necessary to compute the difference values. Again, this embodiment may significantly reduce the data storage requirement for some type of physiological data.

Several compression embodiments have been described for oxygen saturation data. Although the invention can be practiced without the use of compression, additional capabilities are provided by the judicious use of compression. As used herein,

compression includes any processing that alters, however slightly, the original form of the physiological data as they are generated (in the nominal manner) by the monitor. Other compression schemes can also be used and are within the scope of the invention. Of course, no compression could optionally be used.

5 Additional data besides oxygen saturation data can be stored in the sensor memory (i.e., to assist in diagnostics or monitoring of patients). For example, a time stamp of the data can be stored. In this case, the first data sample includes the specific time (e.g., date and time) when the data is recorded. Subsequent data samples can be indicated by the number of epochs away from the first (or a previous) data sample. The  
10 sensor memory can also store an indication of a disconnection of the sensor from the monitor. This data allows the clinician or medical personnel to delineate the events retrieved from the sensor memory.

The sensor memory can also include a field that indicates when the sensor memory is full. The information in this field can be provided to the monitor to direct the  
15 monitor to cease sending data to the sensor memory. The information in this field can be prominently displayed by the monitor to notify the clinician or medical personnel. Also, in response, the monitor can generate an alarm (i.e., blinking light or an audio alarm, or both) to draw the attention of the clinician to the operating state of the sensor.

In a specific embodiment, the saturation data is stored in a data format that  
20 includes an N-bit data field and a field containing the number of epochs over which the data value is maintained. However, many other data formats can be used and are within the scope of the invention.

As noted above, in a specific embodiment, the sensor memory is implemented as a write-once memory device. A field in the sensor memory can be set  
25 when the sensor is reprocessed so that the monitor can determine that it is coupled to a reprocessed sensor. The monitor can use the information in this field to disable the display of the historical data (for example, if the memory is write once and relatively full). Alternatively, if the memory is erasable, a field for storing historical physiological data could be erased during sensor reprocessing.

30 Disabling the data display may be preferable in some applications to ensure the integrity of the collected data. For a memory device that can be written once and has a fixed memory size, it may not be possible to determine where the "old" data came from or how much memory may still be available on a reprocessed sensor.

Moreover, it is highly desirable to avoid having data from an old patient being displayed and potentially mistaken as valid data for the patient to which the sensor couples. Since it is not easy to control or determine the amount of available unwritten memory after a use, which can vary from zero to the full amount, inconsistency and potential customer  
5 dissatisfaction may result from using a sensor having widely varying amounts of available memory. By not displaying data from reprocessed sensors, these potential problems are avoided.

The invention has been described for the storage of blood oxygen saturation data. However, the sensor memory can also store data for other physiological  
10 characteristics such as, for example, heart beat, temperature, and so on. For example, anything, the sensor memory can be used to store NIBP, IBP, and ECG waveforms. Moreover, as memory costs continue to fall and larger memories become available, more complex physiological parameters can be measured and stored.

Additionally, information about the monitor can be stored or embedded  
15 along with the physiological data. This additional information may include, for example, the serial number of the monitor to which the sensor couples, the sensor connect/disconnect times, monitor diagnostics, and others. This information would allow the clinician access to historical information on the instrument as well as the physiological data, which might be useful, for example, in litigation or in troubleshooting  
20 and instrument.

The invention provides advantages not available in conventional monitors and sensors. For example, the invention allows for monitoring of a patient in transit who may be connected to two or more monitors over a period of time. One such situation is a patient who is transported in an ambulance to an emergency room and later transferred to  
25 an intensive care unit. The invention is especially beneficial in this application since this particular patient is more likely to be in need of close monitoring.

The invention can also be used to document physiological characteristics. For example, for a patient in home care who requires oxygen, documentation of oxygen saturation is typically needed. In this case, the sensor of the invention can be used to  
30 store saturation data for the patient over a predetermined time period (i.e., one week). At the end of this period, the caregiver can simply remove the sensor and send it away as documentation of the patient's saturation. The invention can also be used to collect data for other applications such as, for example, sleep diagnostics, de-saturation, and so on.



The sensor of the invention has been described for use in combination with a monitor that performs the signal processing of the detected signal and compression of the processed data. In another embodiment, the sensor of the invention includes the facility to process (and compress, if necessary or desirable) the detected signal. This  
5 embodiment advantageously allows for independent operation of the sensor without support from a monitor. The data stored within the sensor can be provided to a monitor for display. The amount of signal processing and compression that can be achieved by circuitry within the sensor is only limited by the available technology, which inevitably improves over time. In the near term, physiological data that does not require extensive  
10 signal processing and compression (e.g., temperature, peak amplitude in a waveform, heart rate, and so on) can be collected and stored by the sensor.

For further understanding of the invention in its use for the storage of blood oxygen saturation data, a description of the derivation of oxygen saturation from photo-detected signals is included in the aforementioned U.S. Patent Nos. 4,911,167,  
15 5,645,059, and 5,853,364.

The data stored can correspond to a value of an actual physiological condition (i.e., oxygen saturation) or can be indicative of the condition value with the condition value being determinable by the monitor upon reference to a look-up table or by the monitor calculating the condition value from the stored data using a predetermined  
20 algorithm.

The previous description of the preferred embodiments is provided to enable any person skilled in the art to make or use the present invention. The various modifications to these embodiments will be readily apparent to those skilled in the art, and the generic principles defined herein may be applied to other embodiments without  
25 the use of the inventive faculty. For example, the invention can be applied to the storage of other physiological data, such as data for a patient's heartbeat, temperature, volume of individual blood pulsation supplying the tissue or the rate of blood pulsation, and so on. Thus, the present invention is not intended to be limited to the embodiments shown herein but is to be accorded the widest scope consistent with the principles and novel features  
30 disclosed herein.

WHAT IS CLAIMED IS:

- 1                   1. A physiological sensor for connecting to a remote monitor, comprising:  
2                   means for obtaining signals from a patient indicative of a physiological  
3                   condition of the patient;  
4                   means for sending the signals to the remote monitor; and  
5                   a memory circuit associated with the sensor that stores patient  
6                   physiological data derived from the signals and indicative of the physiological condition  
7                   and provides the data to a remote device when requested by the device.
- 1                   2. The sensor of claim 1 further comprising:  
2                   an interface circuit coupled to the memory circuit, wherein the interface  
3                   circuit facilitates data transfer to and from the memory circuit.
- 1                   3. The sensor of claim 1 wherein the memory circuit is implemented as a  
2                   write-once memory, a FLASH memory, a random access memory (RAM), an erasable  
3                   memory, or an electrically erasable programmable read only memory (EEPROM).
- 1                   4. The sensor of claim 1 wherein the memory circuit is implemented as a  
2                   multiple writes memory.
- 1                   5. The sensor of claim 1 wherein the physiological data includes blood  
2                   oxygen saturation data.
- 1                   6. The sensor of claim 5 wherein the physiological data includes pulse rate  
2                   data.
- 1                   7. The sensor of claim 1 wherein the physiological data is compressed  
2                   before storage to the memory circuit.
- 1                   8. The sensor of claim 7 wherein the physiological data is compressed  
2                   using either differential coding or run length coding.

1                   9. The sensor of claim 7 wherein the physiological data is uncompressed  
2 when stored on the memory circuit.

1                   10. The sensor of claim 1 wherein the physiological data is subsampled to  
2 provide one data sample for each epoch, wherein an epoch is a predetermined time period  
3 selected, in part, based on characteristics of physiological data being stored.

1                   11. The sensor of claim 1 wherein the memory circuit provides  
2 information that indicates when the memory circuit is full.

1                   12. The sensor of claim 1 wherein the memory circuit further stores  
2 information of a time associated with each of specific samples of the physiological data.

1                   13. The sensor of claim 1 wherein the memory circuit further stores  
2 information indicative of disconnection of the sensor from a monitor.

1                   14. A physiological sensor for connection to a remote monitor,  
2 comprising:  
3                   at least one light sources, each light source is selected to operate at a  
4 different wavelength;  
5                   at least one photo-detector operative to receive light emitted by the at least  
6 one light source;  
7                   a memory circuit that stores physiological data and provides the data when  
8 requested, wherein the physiological data is indicative of a physiological condition of a  
9 patient being monitored by the sensor; and  
10                  an interface circuit coupled to the memory circuit, wherein the interface  
11 circuit coordinates data transfer to and from the memory circuit.

1                   15. A method for storing physiological data comprising:  
2 detecting via a sensor at least one signal indicative of a physiological  
3 condition;  
4                   conditioning the detected at least one signal to generate data samples;  
5                   processing the data samples to generate the physiological data, wherein the  
6 physiological data describes the physiological condition; and

7 storing the physiological data within a memory located within the sensor.

1 16. The method of claim 15 further comprising;  
2 coding the physiological data to generate compressed physiological data.

1 17. The method of claim 15 further comprising;  
2 sampling or preprocessing the physiological data to generate compressed  
3 physiological data.

1 18. The method of claim 15 wherein the physiological data includes blood  
2 oxygen saturation data.

1 19. A physiological test instrument comprising:  
2 a monitor including  
3 conditioning circuitry that receives an electrical signal and  
4 processes the electrical signal to provide sampled data, and  
5 processing circuitry that processes the sampled data to provide  
6 physiological data, wherein the physiological data is indicative of a physiological  
7 condition of a patient; and  
8 a sensor coupled to the monitor, wherein the sensor includes  
9 at least one light sources, each light source is selected to operate at  
10 a different wavelength,  
11 at least one photo-detector operative to receive light emitted by the  
12 at least one light source, and  
13 a memory circuit that stores at least some of the physiological data  
14 and provides the data when requested.

1 20. The test instrument of claim 19 wherein the monitor further includes  
2 means responsive to a user input for transferring the at least some of the physiological  
3 data to the sensory memory circuit in response to user input.

1 21. The test instrument of claim 19 wherein the monitor further includes  
2 means responsive to an oxygen desaturation event for transferring the at least some of the

3 physiological data to the sensory memory circuit in response to an oxygen desaturation  
4 event of the patient.

1           22. The test instrument of claim 19 wherein the monitor further includes  
2 means responsive to a threshold crossing for transferring the at least some of the  
3 physiological data to the sensory memory circuit when an oxygen saturation of the patient  
4 differs by more than a predetermined amount from a previous oxygen saturation of the  
5 patient.

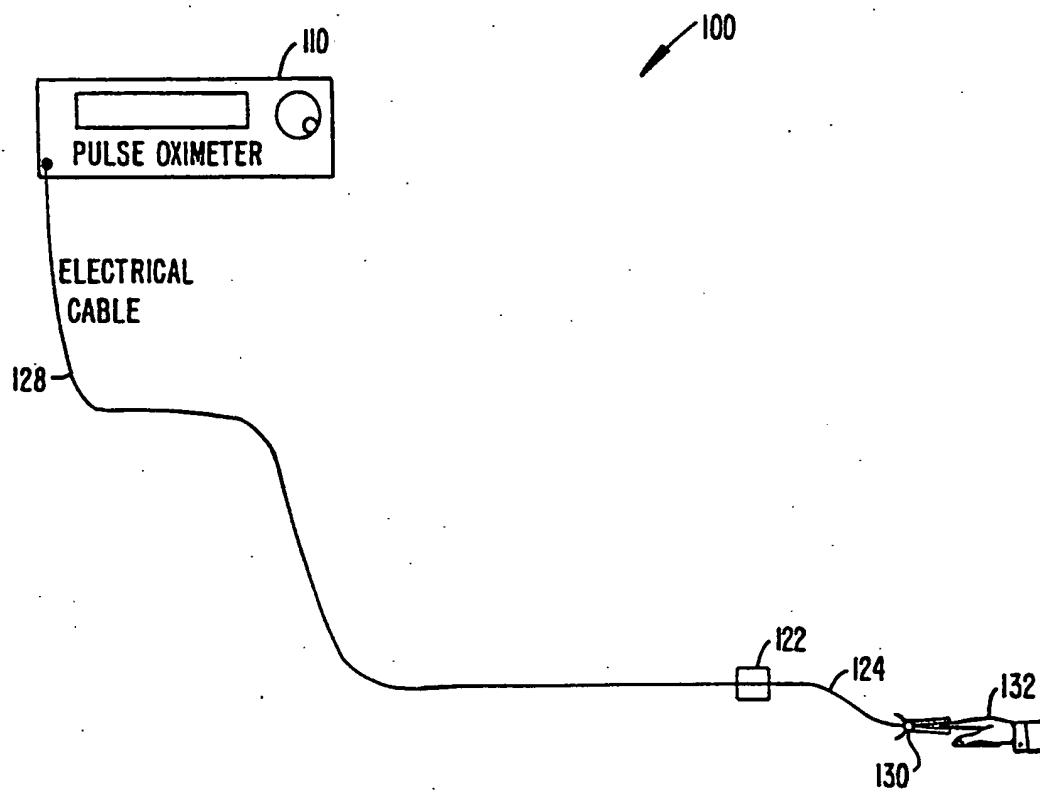
1           23. The test instrument of claim 19 wherein the monitor further includes  
2 an encoder coupled to the processing circuitry, wherein the encoder codes the  
3 physiological data to provide compressed physiological data.

1           24. The test instrument of claim 23 wherein the monitor further includes a  
2 decoder that receives compressed physiological data from the memory circuit and  
3 decodes the data.

1           25. The test instrument of claim 19 wherein the physiological data  
2 includes blood oxygen saturation data.

1           26. An oximeter system for storing and providing historical saturation  
2 data of a patient comprising:  
3           two or more light sources for transmitting light through the patient,  
4 wherein the light sources operate at different wavelengths;  
5           a detector that detects optical signals from the light sources and provides  
6 electrical signals indicative of the detected optical signals;  
7           conditioning circuitry that processes the electrical signals to provide data  
8 samples corresponding to the electrical signals;  
9           processing circuit that receives the data samples and processes the data  
10 samples to provide saturation data;  
11           a memory within a sensor that stores the saturation data; and  
12           circuitry that retrieves the stored saturation data and directs display of the  
13 saturation data.

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**FIG. 1.**

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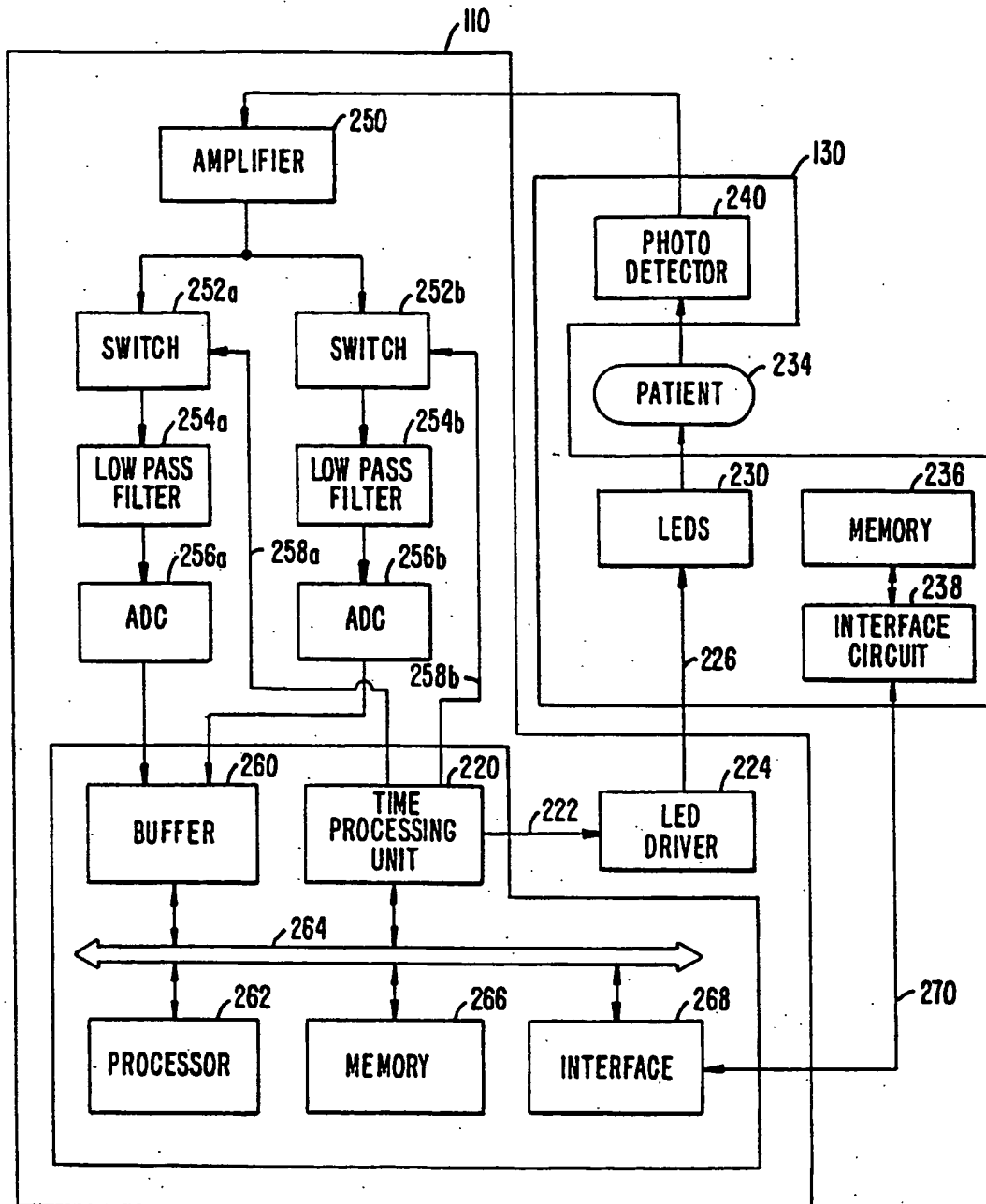


FIG. 2.

# INTERNATIONAL SEARCH REPORT

International Application No

PCT/US 00/05892

## A. CLASSIFICATION OF SUBJECT MATTER

IPC 7 A61B5/00

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 7 A61B

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

EPO-Internal

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y	US 4 827 943 A (ROBERT) 9 May 1989 (1989-05-09) the whole document	1-26
Y	US 5 758 644 A (MASIMO) 2 June 1998 (1998-06-02) the whole document	1-26

☐ Further documents are listed in the continuation of box C.

☒ Patent family members are listed in annex.

### \* Special categories of cited documents :

"A" document defining the general state of the art which is not considered to be of particular relevance

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"P" document published prior to the international filing date but later than the priority date claimed

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"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.

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Date of the actual completion of the international search

26 July 2000

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01/08/2000

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# INTERNATIONAL SEARCH REPORT

information on patent family members

International Application No

PCT/US 00/05892

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